

UNITED STATES HOUSE OF REPRESENTATIVES

FORM B

FINANCIAL DISCLOSURE STATEMENT

For New Members, Candidates, and New Employees

Name: MARY M. MAJORSIE

Daytime Telephone: _____

FILER STATUS

☒

New Member of or Candidate for U.S. House of Representatives
State: CA
District: 03
Candidates - Date of Election: NOV. 6, 2018

Check if Amendment

New Officer or Employee
Employing Office: _____

Staff Filer Type (If Applicable):
Shared ☐ Principal Assistant ☐

Period Covered: January 1, 2018 to MAY 6, 2018

A \$200 penalty shall be assessed against any individual who files more than 30 days late.

OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES
(Office Use Only)

18 MAY 17 AM 11:22

LEGISLATIVE RESOURCE CENTER

MAY 11 4 2018

Page 1 of 10

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

<p>A. Did you, your spouse, or your dependent child:</p> <p>a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <u>or</u></p> <p>b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?</p> <p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p>	<p>E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?</p> <p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>
<p>C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?</p> <p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p>	<p>F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?</p> <p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p>
<p>D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?</p> <p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>	<p>J. Did you receive compensation of more than \$5,000 from a single source in the current year and two prior years?</p> <p>Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p>

ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"

THIS FORM INCLUDES ONLY THE SCHEDULES THAT YOU ARE REQUIRED TO COMPLETE

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER BOTH OF THESE QUESTIONS

TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?

Yes ☐ No ☒

EXEMPTION - Have you excluded from this report any other assets, "unearned" income, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.

Yes ☒ No ☐

Page 2 of 16

Use additional sheets if more space is required.

Page 3 of 16

Use additional sheets if more space is required.

Page 4 of 16

INCOME LIMITS and PROHIBITED INCOME: Be advised that the income limit and prohibited income may apply to you after you are on House payroll. The 2016 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$27,495. The 2017 limit is \$27,765. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited for Members and senior staff.

Use additional sheets if more space is required

SCHEDULE D - LIABILITIES

Name: Mary M. Madorsie

Page 5 of 16

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. **New Members.** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. *Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
				A	B	C	D	E	F	G	H	I	J	K
				\$10,001- \$15,000	\$15,001- \$50,000	\$50,001- \$100,000	\$100,001- \$250,000	\$250,001- \$500,000	\$500,001- \$1,000,000	\$1,000,001- \$5,000,000	\$5,000,001- \$25,000,000	\$25,000,001- \$50,000,000	Over \$50,000,000	Over \$1,000,000* (Spouse/DC Liability)
Example	First Bank of Wilmington, DE	5/98	Mortgage on Rental Property, Dover, DE				X							

SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature. **New Members and second-year candidates** report positions held in the reporting period and the current calendar year. **First-year candidates and new employees** report positions held in the current calendar year and two previous years.

Position	Name of Organization
Trustee	Levy English Generation Skipping Residual Trust
Trustee	Levy English Generation Skipping Marital Trust
Trustee	Levy English Marital Trust
Trustee	Friends & English Irrevocable Life Insurance Trust
Trustee	Frances & English Family Trust
Partner	English Land Company

SCHEDULE F - AGREEMENTS

Name: Mary M. Malarsie

Page 6 of 16

Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement

SCHEDULE J - COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Report sources of compensation received by you or your business affiliation for services provided directly by you during the current year and two prior years. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise if you directly provided the services generating a fee or payment of more than \$5,000. **Exclude:** Payments by the U.S. government and any information considered confidential as a result of a privileged relationship recognized by law. **Do not repeat information listed on Schedule C.**

Source (Name and City/State)		Brief Description of Duties
Example:	Doe Jones & Smith, Hometown, Homestate	Accounting Services

FILER NOTES
(Optional)

Name:

Name: Mary M. Madsen Page 7 of 16

Page:

4

of

后

[illegible]

May 5, 2018

Mary M Malarsie

Candidate for U.S. House of Representative

Colorado-District 03

Information to be attached to Financial Disclosure Statement

I am a Trustee on five separate trusts.

The names of the trust are as follows:

Leroy English Generation Skipping Trust

Leroy English Marital Trust

France B English Irrevocable Life Insurance Trust

Frances B English Family Trust

I am one of five beneficiaries so I am listing one fifth of total value.

Please find below assets in the Leroy English Generation Skipping Residual Trust. These listed assets are in addition to the included list of investments held with Wells Fargo Advisors in investment accounts.

House and 40 acres located in Durango, Colorado, Section
13,T37N,R9W
\$50,000-\$100,000

House at 4700 Atlantic Street, Farmington, New Mexico
\$15,000-\$50,000

Oil and Gas Royalties in New Mexico
\$1,000-\$15,000

Oil and Gas Royalties in Colorado
\$1,000-\$15,000.

See attached copy of investment account statement from Wells Fargo
Advisors: \$500,001-\$1,000,000
Bank account:\$1,001-\$15,000

Mary M Malarsie

Continued, Asset List page 2

The Leroy English Generation Skipping Marital Trust and Leroy English Marital Trust have no other assets besides investments held in Wells Fargo Advisors accounts. LE Generation Skipping Marital Trust: \$100,001-\$250,000
LE Marital Trust: \$250,000-\$500,000

Frances B English Irrevocable Life Insurance Trust

Values are based on current surrender value

Mass Mutual life insurance policy

\$15,001-\$50,000

Mass Mutual life insurance policy

\$1,001-\$15,000

New York Life insurance policy

\$15,001-\$50,000

River Source life insurance policy

\$15,001-\$50,000

Bank Account: \$1001-\$15,000

Frances B English Family Trust

Wells Fargo Advisor: \$15,001-\$50,000

Furniture, artwork: \$15,000-\$50,000

20 acres on Wildflower Drive, Farmington New Mexico

\$1001-\$15,000

Bank Account 1: \$1001-\$15,000

Bank Account 2: \$15,001-\$50,000

Mary M Malarsie
Candidate Colorado District 03

ASSETS IN ENGLISH LAND COMPANY
COLORADO GENERAL PARTNERSHIP

I am a 19% partner

Assets listed below are 19% of total.

Wells Fargo Stock: \$500,001-\$1,000,000
Dividend income for 2017: \$15,001-\$50,000
Water rights at 15648 County Road 250
Durango, CO: \$1001-\$15,000

Stored water in two lakes:
\$1001-\$15,000

460 Acres at 15648 County Road 250:
\$15,001-\$50,000

Properties listed below are located in Farmington, New Mexico

70 acres on Country Club Drive: \$15,001-\$50,000
21.41 acres on Country Club Drive: \$1,001-\$15,000
.95 acres on English Road: \$15,001-\$50,000
4.3 acres on Thomas Drive: \$15,001-\$50,000

Properties listed below are located in Aztec, New Mexico

28.5 acres US Highway 550: \$15,001-\$50,000
17.5 acres 17396 Highway 550: \$1,001-\$15,000
5.86 acres US Highway 550: \$1,001-\$15,000

Bank Account 1-\$1001-\$15,000
Bank Account 2-\$15,001-\$50,000

Partner# 6

Schedule K-1
(Form 1065)Department of the Treasury
Internal Revenue Service

2016

For calendar year 2016, or tax

year beginning

ending

Partner's Share of Income, Deductions,
Credits, etc.

See back of form and separate instructions.

Part I Information About the Partnership	
A Partnership's employer identification number	
B Partnership's name, address, city, state, and ZIP code	ENGLISH LAND COMPANY 15648 COUNTY ROAD 250 DURANGO CO 81301
C IRS Center where partnership filed return	e-file
D <input type="checkbox"/> Check if this is a publicly traded partnership (PTP)	
Part II Information About the Partner	
E Partner's identifying number	
F Partner's name, address, city, state, and ZIP code	MARY ENGLISH MALARSIE DURANGO CO 81301
G <input checked="" type="checkbox"/> General partner or LLC member-manager <input type="checkbox"/> Limited partner or other LLC member	
H <input checked="" type="checkbox"/> Domestic partner <input type="checkbox"/> Foreign partner	
I What type of entity is this partner?	Individual
J If this partner is a retirement plan (IRA/SEP/Keogh/etc.), check here <input type="checkbox"/>	
K Partner's share of profit, loss, and capital (see instructions):	
Beginning	Ending
Profit 19.000000 %	19.000000 %
Loss 19.000000 %	19.000000 %
Capital 19.000000 %	19.000000 %
L Partner's share of liabilities at year end:	
Nonrecourse	\$
Qualified nonrecourse financing	\$
Recourse	\$ 23,187
M Did the partner contribute property with a built-in gain or loss?	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes," attach statement (see instructions)	

<input type="checkbox"/> Final K-1 <input type="checkbox"/> Amended K-1		OMB No. 1545-0047	
Part III Partner's Share of Current Year Income, Deductions, Credits, and Other Items			
1	Ordinary business income (loss)	15	Credits
	-1,690		
2	Net rental real estate income (loss)		
*	5,439		
3	Other net rental income (loss)	16	Foreign transactions
4	Guaranteed payments		
5	Interest income		
	1,351		
6a	Ordinary dividends		
	24,732		
6b	Qualified dividends		
	24,732		
7	Royalties		
8	Net short-term capital gain (loss)		
9a	Net long-term capital gain (loss)	17	Alternative minimum tax (AMT) item
	30,376	A	-16
9b	Collectibles (28%) gain (loss)		
9c	Unrecaptured section 1250 gain		
10	Net section 1231 gain (loss)	18	Tax-exempt income and nondeductible expenses
11	Other income (loss)		
12	Section 179 deduction	19	Distributions
		A	9,500
13	Other deductions		
K	2,687		
W*	2,660	A	26,083
14	Self-employment earnings (loss)	Z*	S
A	-1,690		

*See attached statement for additional information.

For IRS Use Only

Mary M Malarsie CURTAIN CO-OPS

BENEFICIARY 1
Schedule K-1
(Form 1041)

Department of the Treasury
Internal Revenue Service

For calendar year 2016,
or tax year beginning _____
and ending _____

2016

☐ Final K-1

☒ Amended K-1

OMB No. 1545-C

**Beneficiary's Share of Income, Deductions,
Credits, etc.**

▶ See back of form and instructions.

Part I Information About the Estate or Trust

A Estate's or trust's employer identification number

B Estate's or trust's name

**LEROEY ENGLISH GENERATION SKIPPING
RESIDUARY TRUST**

C Fiduciary's name, address, city, state, and ZIP code

**FRANCIS B. ENGLISH
CO-TRUSTEE
15648 COUNTY RD 250
DURANGO CO 81301**

D ☐ Check if Form 1041-T was filed and enter the date it was filed _____

E ☐ Check if this is the final Form 1041 for the estate or trust

Part II Information About the Beneficiary

F Beneficiary's identifying number

G Beneficiary's name, address, city, state, and ZIP code

**MARY MALARSIE
120 MONTEZUMA PLACE
DURANGO CO 81301**

H ☒ Domestic beneficiary

☐ Foreign beneficiary

**Part III Beneficiary's Share of Current Year Income,
Deductions, Credits, and Other Items**

1	Interest income	3	11	Final year deductions
2a	Ordinary dividends	13,278		
2b	Qualified dividends	13,278		
3	Net short-term capital gain			
4a	Net long-term capital gain			
4b	28% rate gain		12	Alternative minimum tax adjustment
4c	Unrecaptured section 1250 gain		A	-2
5	Other portfolio and nonbusiness income	108	B	-2
6	Ordinary business income			
7	Net rental real estate income			
8	Other rental income		13	Credits and credit recapture
9	Directly apportioned deductions			
			14	Other information
			A	54
10	Estate tax deduction		E *	13,359 ST

*See attached statement for additional information.

Note. A statement must be attached showing the beneficiary's share of income and directly apportioned deductions from each business, rental real estate, and other rental activity.

For IRS Use Only

Open Tax Losses - Open Tax Losses

Print may not display all columns in grid

Account Information

LEROY ENGLISH GENERATION
 SKIPPING RESIDUARY TRUST TR
 MICHAEL ENGLISH TTEE ET AL
 U/A DTD 03/20/1981
 15648 COUNTY RD 250
 DURANGO CO 81301-8695

Details

Registration:	Q - Pers. Trust
Product Type:	BROKERAGE CASH SERVICES
CSI:	4C - Street Name-Hold/ Hold All Funds
MMF Code:	BDS - STANDARD BANK DEPOSIT SWEEP
Investment Objective:	E - Aggressive Growth
BOARD Record	

Balances

Total Account Value:	3,344,003.54
Total Market Value:	3,434,497.03
Funds Available:	52,706.87
Total Cash without Borrowing:	
Cash Balance:	
Margin Balance:	-90,493.49
Money Market Fund Balance:	
Free Credit/Miscellaneous Debit:	
Fed Call:	

Contact Information

Home Phone:

OPEN TAX LOTS FULL

447,108										121,474,1600	3,432,600.12
2,300	On	AT & T INC	T	10/04/2011	35.1200"	27.9350	27.9351	4,600.0000	80,776.00	27.935069565	
11,125	On	CHEVRON CORPORATION	CVX	05/30/2009	110.8200"	64.6534	64.6535	49,840.0000	1,232,872.50	64.65348764	
36,129	On	GENERAL ELECTRIC COMPANY	GE	05/30/2009	13,0400"	13.3160	13.3160	17,341.9100	471,122.16	13.316031719	
900	On	INTERNATIONAL BUSINESS	IBM	05/30/2009	149.1000"	106.4475	106.4475	5,400.0000	134,190.00	106.4475	
2,000	On	MICROSOFT CORP.	MSFT	04/06/2016	88.1500"	55.4813	55.4814	3,360.0000	176,300.00	55.48137	
1,250	On	NEXTERA ENERGY INC	NEE	10/04/2011	162.0601"	52.1492	52.1493	5,550.0000	202,575.12	52.1492966	
1,200	On	POLARIS INDS INC	PII	04/06/2016	111.8100"	94.8134	94.8135	2,880.0000	134,172.00	94.813483333	
12,204	On	WELLS-FARGO & CO NEW	WFC	05/30/2009	50.7100"	25.1700	25.1700	19,038.2400	618,864.84	25.1700	
180,000	On	COLORADO HLTH FACCS AUTH		04/06/2016	103.2590	105.0853	105.0853	9,450.0000	185,864.40	112.9948898	
200,000	On	REGIONAL TRANSN DIST CO		03/27/2018	98.8800	99.5895	99.5895	4,014.0000	197,760.00	99.5895	

Print may not display all columns in grid

Account Information

MA28
LEROY ENGLISH GENERATION
SKIPPING MARITAL TR
MARY M MALARSIE TTEE ET AL
U/A DTD 03/20/1981
15648 COUNTY RD 250
DURANGO CO 81301-8695

Details

Registration: Q - Pers. Trust
Product Type: BROKERAGE CASH SERVICES
CSI: 4C - Street Name-Hold/ Hold All Funds
MMF Code: BDS - STANDARD BANK DEPOSIT SWEEP
Investment Objective: C - Moderate Growth
BORD Record

Balances

Total Account Value: 709,553.88
Total Market Value: 568,264.61
Funds Available: 141,289.27
Total Cash without Borrowing: 141,289.27
Cash Balance:
Margin Balance:
Money Market Fund Balance: 141,289.27
Free Credit/Miscellaneous Debt:
Fed Call:

Contact Information

Home Phone:

OPEN TAX LOTS FULL

Quantity	Symbol	Acquired	Disposed	Cost	Market	Unrealized	Realized	Income	Gain/Loss
8,875								19,440,4600	568,235.26
2,648 On	ABBVIE INC	ABBY	02/15/2011	91.1600"	23.9210	23.9210	10.168.3100	241.391.68	23.921042296
83 On	AT & T INC	T	02/15/2011	35.0700"	28.4600	28.4600	166.0000	2.910.81	28.4600
2,648 On	ABBOTT LABORATORIES	ABT	02/15/2011	57.9650"	22.0589	22.0590	2.965.7500	153.464.84	22.058957703
390 On	EXPRESS SCRIPTS HLDG CO	ESRX	02/15/2011	67.3700"	56.2050	56.2050		26.274.30	56.2050
276 On	KRAFT HEINZ CO	KHC	02/15/2011	59.8800"	29.6830	29.6830	690.0000	16.526.88	32.09673913
830 On	MONDELEZ INTL INC	MDLZ	02/15/2011	40.6700"	19.2610	19.2611	730.4000	33.756.10	19.79108024
2,000 On	VERIZON COMMUNICATIONS	VZ	04/06/2016	46.9700"	53.7825	53.7826	4.720.0000	93.940.00	53.782575

Mary M. Majorsie Candidate CO-03 1/5 of total

Open Tax Lots - Open Tax Lots

Aug 02, 2018 11:45 AM MDT

Page 1 of 2

P 15-16

ACCOUNT WORKBOOK

Print may not display all columns in grid

Account Information

LERROY ENGLISH MARITAL TR
PATRICK ENGLISH TTEE
MICHAEL ENGLISH TTEE ET AL
U/A DTD 03/20/1981
15648 COUNTY RD 250
DURANGO CO 81301-8695

Details

Registration: Q - Pers. Trust
Product Type: BROKERAGE CASH SERVICES
Cst: 4C - Street Name-Hold/
Hold All Funds
MMF Code: BDS - STANDARD BANK
DEPOSIT SWEEP
Investment Objective: E - Aggressive Growth
BORD Record

Balances

Total Account Value: 1,388,323.93
Total Market Value: 1,363,984.13
Funds Available: 29,028.80
Total Cash without
Borrowing: 24,339.80
Cash Balance: 612.00
Margin Balance:
Money Market Fund
Balance: 23,727.80
Free Credit/Miscellaneous
Debit: 612.00
Fed Call:

Contact Information

Home Phone:

OPEN TAX LOTS FULL

Quantity	Symbol	Description	Acquired Date	Acquired Price	Acquired Value	Current Price	Current Value	Unrealized Gain/Loss	Cost Basis	Current Basis
18,617							43,868.3600	1,369,728.85		
1,200	On	ALTRIA GROUP INC	MO	02/15/2011	60.6800	24.4900	24.4900	3,360.0000	72,816.00	24.4900
700	On	AMGEN INC	AMGN	04/06/2016	164.3800	160.2347	160.2347	3,696.0000	115,066.00	160.234714285
1,000	On	DISNEY WALT COMPANY	DIS	04/08/2016	98.2250	98.4620	98.4620	1,680.0000	98,225.00	98.46202
1,500	On	EASTMAN CHEMICAL CO	EMN	02/15/2011	102.0650	46.8400	46.8400	3,360.0000	153,097.50	46.8400
1,200	On	EMERSON ELECTRIC CO	EMR	02/15/2011	65.2900	61.8500	61.8500	2,328.0000	78,348.00	61.8500
4,282	On	EXXON MOBIL CORP	XOM	02/15/2011	72.2500	84.9100	84.9100	13,188.5600	308,374.50	84.9100
2,950	On	INTEL CORP	INTC	07/28/2014	48.3200	33.8364	33.8364	3,540.0000	142,544.00	33.836430508
1,500	On	MERCK & CO INC NEW	MRK	02/15/2011	53.1951	32.8200	32.8200	2,880.0000	79,792.65	32.8200
1,500	On	NIKE INC CLASS B	NKE	04/08/2016	63.5100	60.8947	60.8947	1,200.0000	95,265.00	60.894733333
1,200	On	PHILIP MORRIS	PM	02/15/2011	97.8071	59.7400	59.7400	5,136.0000	117,368.52	59.7400
835	On	QUALCOMM INC	QCOM	07/28/2014	53.2700	74.3511	74.3511	1,903.8000	44,480.45	76.732467065
150	On	SOUTHERN COMPANY/THE	SO	10/04/2011	44.2000	41.6231	41.6231	348.0000	6,630.00	41.623133333
600	On	WALMART INC	WMT	02/15/2011	84.9486	54.8000	54.8000	1,248.0000	50,969.16	54.8000

Mary M. Malarsie Candidate CO-03 15 of 106 P. 10-10

Print may not display all columns in grid

Account Information

MA28
FRANCES B ENGLISH TR
MARY M MALARSIE TTEE
U/A DTD 06/17/1982
15848 CR 250
DURANGO CO 81301-8695
Birth Date: 06/25/1922 (95)

Details

Registration: Q - Pers. Trust
Product Type: BROKERAGE CASH SERVICES
CSI: 4C - Street Name-Hold/Hold All Funds
MMF Code: BDS - STANDARD BANK DEPOSIT SWEEP
Investment Objective: H - Conservative Growth

Balances

Total Account Value: 110,286.00
Total Market Value: 63,554.59
Funds Available: 46,731.41
Total Cash without Borrowing: 46,731.41
Cash Balance: 16,413.80
Margin Balance: 30,317.81
Money Market Fund Balance: 16,413.60
Free Credit/Miscellaneous Debit: 16,413.60
Fed Call:

Contact Information

Home Phone:

OPEN TAX LOTS FULL

Symbol	Description	Quantity	Acquired Cost	Current Market Value	Unrealized Gain/Loss	Wash Sale	Cost Basis	Current Market Value	Unrealized Gain/Loss
1,416.0780				1,078.1200	63,554.59			64,437.51	
916.0780	On COLUMBIA BALANCED FD -*			36,124.1	36,124.1			33,092.51	
500	On MERCK & CO INC NEW			42,690.0	42,690.0			21,345.00	

Indicators:

Y-Covered Tax lot-Cost information for this tax lot is covered by IRS reporting requirements; N-Noncovered Tax lot-Cost information for this tax lot is not covered by IRS reporting requirements; M-Mixed-Net Row is a mix of Covered and Noncovered tax lot details; W-Open date reflects Wash Sale Date-The cost for this tax lot has been adjusted due to wash sale activity as defined by IRS regulations; Filter - "All Tax Years" represents the current and the previous two tax years; CSI - Cash Standing Instructions indicates the disposition of stock and money on cash accounts; MMF Code - The MMF Code in the report header indicates how money market account balances are treated.

This report is not the official record of your account. However it has been prepared to assist you with your investment planning and is for informational purposes only. Your Wells Fargo Advisors Client Statement is the official record of your account. Therefore, if there are any discrepancies between this report and your Client Statement, you should rely on the Client Statement and call your local Branch Manager with any questions. Cost data and acquisition dates provided by you are not verified by Wells Fargo Advisors. Certain securities positions included in the report are not held in your account but are shown for informational purposes only and are based on information supplied to us by you. Transactions requiring tax consideration should be reviewed carefully with your accountant or tax advisor. Unless otherwise indicated, market prices/values are the most recent closing prices available at the time of this report, and performance does not guarantee future results.

Wells Fargo Advisors is a trade name used by Wells Fargo Clearing Services, LLC, a registered broker-dealer and non-bank affiliate of Wells Fargo & Company.